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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: David Montgomery
SERIAL NUMBER:
FILING DATE: November 15, 2000
TITLE: Method and Apparatus for Improving Efficiency of End-User Certificate Validation

EXAMINER:
ART GROUP:
DOCKET NO: 0500.0003231



Date: November 17, 2000

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Information Disclosure Statement
In Accordance with 37 CFR §§ 1.97 and 1.98

Pursuant to 37 CFR §§ 1.97 and 1.98 applicant(s) hereby respectfully submits the following statement consisting of:

- I. A list of documents;
- II. General remarks.

A copy of each listed document is enclosed herewith along with form PTO-1449.

I. DOCUMENTS

A. ___ U.S. Patents

U.S. Pat. No.	Inventor	Date
5,666,416	Micali	9/9/97
5,687,235	Perlman et al.	11/11/97
5,745,574	Muftic	4/28/98
5,315,657	Abadi et al.	5/24/94
5,224,163	Gasser et al.	6/29/93
5,220,604	Gasser et al.	6/15/93
5,745,886	Rosen	4/28/98
5,671,280	Rosen	9/23/97

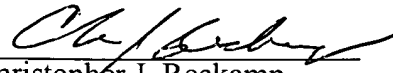
B. Publications

1. Levi et al., "Verification of classical certificates via nested certificates nested certificate paths," Computer Communications and Networks, 1999, Proceedings, Eighth International Conference on, pp. 242-247
2. Xu et al., "Certificate path generating protocol (CPGP) for authentic signaling in ATM networks," Network Protocols, 1998, Proceedings, Sixth International Conference on, pp. 282-289
3. Reiter et al., "Resilient authentication using path independence," Computers, IEEE Transactions on, pp. 1351-1362
4. Handbook of Applied Cryptography, Alfred Menezes et al., (1997) pp. 572-576
5. PKI Architectures and Interoperability, preliminary draft for Federal PKI TWG, by W.E. Burr, published on the World Wide Web, March 6, 1998
6. Toward a National Public Key Infrastructure, Santos Chokani, IEEE Communications Magazine, September 1994, pp. 70-74
7. Data Structures and Algorithms, Alfred V. Aho et al., (1983), Addison Wesley Publishing Company pp. 215-218 and pp. 239-244
8. Graph Theory with Applications, J.A. Bondy and U.S.R. Murty, (1981), pp. 14-21.

II. GENERAL REMARKS

The submission of the above documents is not an admission that the information is analogous or otherwise material. It is respectfully requested that the above listed documents be considered and made of record in the present application.

Respectfully Submitted,

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